

## Algebraic Geometry Lecture 31 – Sheafification

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Let  $X$  be a topological space.

**Definition.** A presheaf  $\mathcal{F}$  of rings on  $X$  is:

- (1) for every open subset  $U \subseteq X$ , a ring  $\mathcal{F}(U)$ ;
- (2) for every inclusion  $V \subseteq U$  a ring homomorphism  $\rho_{U,V} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$

such that

- (1)  $\mathcal{F}(\emptyset) = 0$
- (2)  $\rho_{U,U} = \text{id}_{\mathcal{F}(U)}$
- (3)  $W \subseteq V \subseteq U$  all open, then  $\rho_{U,W} = \rho_{V,W} \rho_{U,V}$ .

We call  $\rho_{U,V}$  the restriction maps, and for  $s \in \mathcal{F}(U)$  we sometimes write  $s|_V$  for  $\rho_{U,V}(s)$ . We call the elements of  $\mathcal{F}(U)$  the sections of  $\mathcal{F}$  over  $U$ , and we sometimes write  $\Gamma(U, \mathcal{F})$  for  $\mathcal{F}(U)$ .

**Example.** Let  $X = \{x_1, x_2\}$  with the discrete topology, i.e. all subsets are open. We write  $X_i = \{x_i\}$ .

We define some presheaves on  $X$ .

- $\mathcal{F}_1 : \mathcal{F}_1(X_i) = \mathbb{Z} \quad (1 \leq i \leq 2)$   
 $\mathcal{F}_1(X) = \mathbb{Z}$   
 $\rho_{U,V}^{\mathcal{F}_1} = 0 \quad \text{for } U \neq V.$
- $\mathcal{F}_2 : \mathcal{F}_2(X_i) = \mathbb{Z} \quad (1 \leq i \leq 2)$   
 $\mathcal{F}_2(X) = \mathbb{Z}$   
 $\rho_{U,V}^{\mathcal{F}_2} = \text{id}_{\mathbb{Z}} \quad \text{for } V \neq \emptyset.$

It's easy to see that  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are presheaves.

A sheaf is “a presheaf whose sections are determined by local data.”

**Definition.** A presheaf  $\mathcal{F}$  on  $X$  is a sheaf if, for any open  $U \subseteq X$ , and any open covering  $\{V_i\}$  of  $U$ ,

- (4) if, for  $s \in \mathcal{F}(U)$ ,  $s|_{V_i} = 0$ , then  $s = 0$ ;
- (5) if  $s_i \in \mathcal{F}(V_i)$  such that for all  $i, j$ ,  $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$ , then there exists  $s \in \mathcal{F}(U)$  such that  $s|_{V_i} = s_i$  for every  $i$ .

Note that (4)  $\Rightarrow$  the  $s$  in (5) is unique.

**Example.**

- $\mathcal{F}_1$  is not a sheaf because (4) fails:  $2 \in \mathcal{F}_1(X)$ ,  $2|_{X_i} = 0$  for all  $i$ , but  $2 \neq 0$ .
- $\mathcal{F}_2$  is not a sheaf as (5) fails:  $2 \in \mathcal{F}_2(X_1)$ ,  $3 \in \mathcal{F}_2(X_2)$ , but there is no  $s \in \mathcal{F}_2(X) = \mathbb{Z}$  such that  $s|_{X_1} = s = 2$  and  $s|_{X_2} = s = 3$ .

**Example.** Let

$$\begin{aligned} \mathcal{F}_3 : \mathcal{F}_3(X_i) &= \mathbb{Z} \quad (1 \leq i \leq 2) \\ \mathcal{F}_3(X) &= \mathbb{Z} \oplus \mathbb{Z} \\ \rho_{X, X_1} &= \pi_1 : \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z} : (m, n) \mapsto m \\ \rho_{X, X_2} &= \pi_2 : \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z} : (m, n) \mapsto n. \end{aligned}$$

Then  $\mathcal{F}_3$  is a sheaf. (We'll see later that it's a sheafification of  $\mathcal{F}_2$ .)

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**Definition.** If  $\mathcal{F}$  is a presheaf on  $X$ , and  $P \in X$ , then we define the stalk  $\mathcal{F}_P$  of  $\mathcal{F}$  at  $P$  to be the direct limit of the rings  $\mathcal{F}(U)$  for all open  $U \ni P$ , via the restriction maps.

Unraveling this definition we see that an element of  $\mathcal{F}_P$  is represented by a pair  $\langle U, s \rangle$  where  $U \ni P$  is open,  $s \in \mathcal{F}(U)$ , and

$$\langle U, s \rangle \sim \langle V, t \rangle$$

if and only if there exists some open set  $W \subseteq U \cap V$ ,  $P \in W$ , such that  $s|_W = t|_W$ .

We call elements of  $\mathcal{F}_P$  germs of sections of  $\mathcal{F}$  at the point  $P$ .

**Example.** As we have given  $X$  the discrete topology,

$$\mathcal{F}_{x_i} = \mathcal{F}(X_i) \quad (1 \leq i \leq 2)$$

and germs are just sections for  $\mathcal{F} = \mathcal{F}_1, \mathcal{F}_2, \mathcal{F}_3$ .

So the stalks here look uninteresting, but we'll see later that we can still learn something from looking at them.

Thinking of a sheaf as a (contravariant) functor

$$\mathcal{F} : \underline{\text{Top}}(X)^{\text{op}} \rightarrow \underline{\text{Rings}}$$

suggests the following definition.

**Definition.** If  $\mathcal{F}, \mathcal{G}$  are presheaves on  $X$ , a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  consists of a ring homomorphism

$$\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$$

for each open  $U$  such that whenever  $V \subseteq U$  is open, the diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\varphi(U)} & \mathcal{G}(U) \\ \rho_{U,V}^{\mathcal{F}} \downarrow & & \downarrow \rho_{U,V}^{\mathcal{G}} \\ \mathcal{F}(V) & \xrightarrow{\varphi(V)} & \mathcal{G}(V) \end{array}$$

commutes. I.e.

$$\rho_{U,V}^{\mathcal{G}} \varphi(U)(s) = \varphi(V) \rho_{U,V}^{\mathcal{F}}(s),$$

or “ $\rho\varphi = \varphi\rho$ ”.

A morphism of sheaves is just a morphism of the underlying presheaves. An isomorphism is just a morphism with a two-sided inverse.

**Lemma.** For any  $P \in X$ , a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  of presheaves on  $X$  induces morphisms  $\varphi_P : \mathcal{F}_P \rightarrow \mathcal{G}_P$  on the stalks, sending

$$\langle U, s \rangle \rightarrow \langle U, \varphi(U)(s) \rangle.$$

*Proof.* Suppose  $\langle U, s \rangle \sim \langle V, t \rangle$ . We want  $\langle U, \varphi(U)(s) \rangle \sim \langle V, \varphi(V)(t) \rangle$ . By definition of  $\sim$  there exists  $W \subseteq U \cap V$ ,  $P \in W$  such that  $s|_W = t|_W$ . Then

$$\begin{aligned} \rho_{U,W}^{\mathcal{G}}(\varphi(U)(s)) &= \varphi(W) \rho_{U,W}^{\mathcal{F}}(s) \\ &= \varphi(W)(s|_W) \\ &= \varphi(W)(t|_W) \\ &= \varphi(W) \rho_{V,W}^{\mathcal{F}}(t) \\ &= \rho_{V,W}^{\mathcal{G}}(\varphi(V)(t)). \end{aligned}$$

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